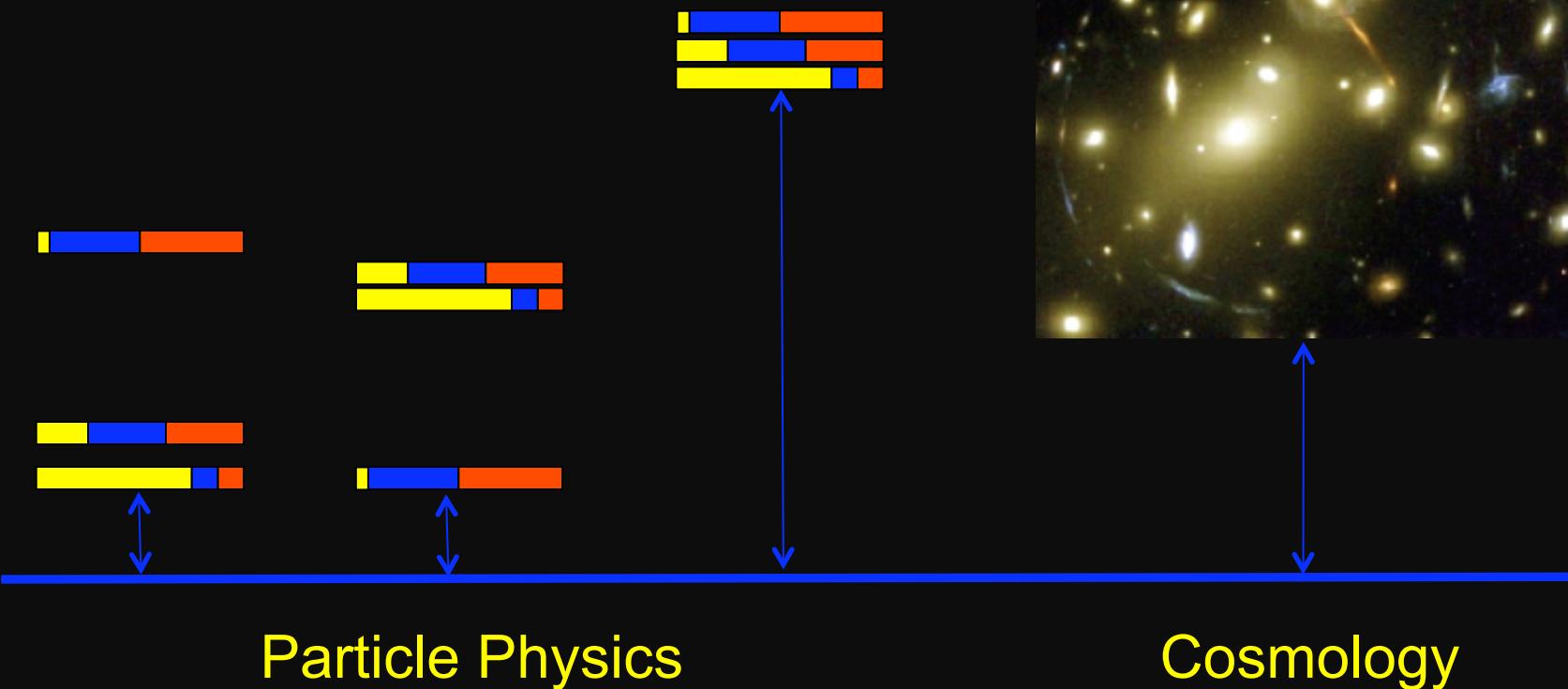
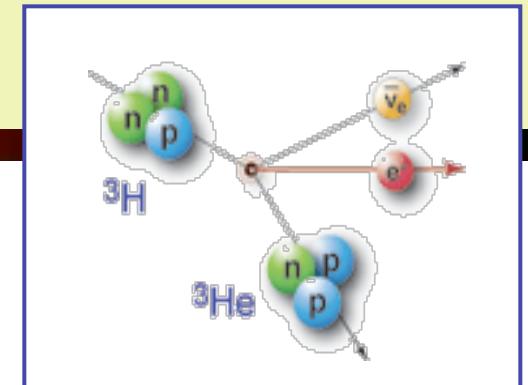


# Weighing neutrinos – what is the mass scale?



# Neutrino mass from Beta Spectra

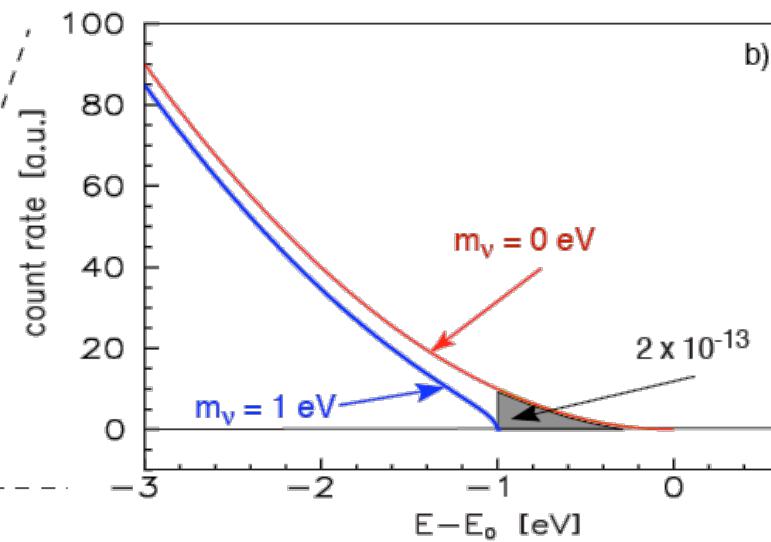
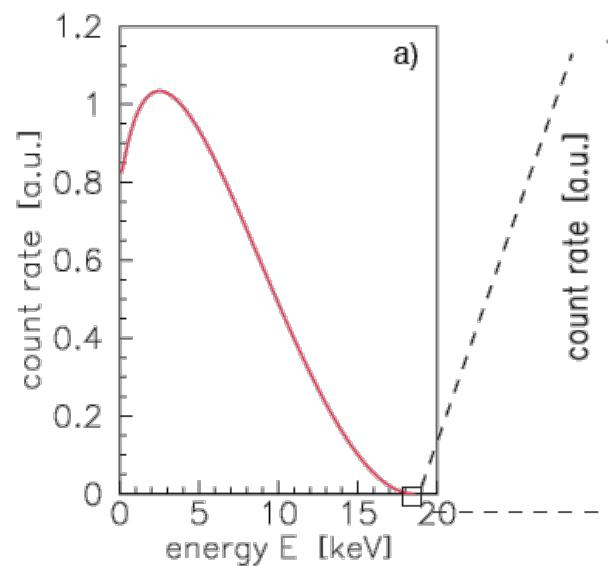
With flavor mixing:



$$\frac{dN}{dT} = \frac{G_F \cos \theta_C}{2\pi^3} |M_{\text{nuc}}|^2 F(Z, T)(T + m)(T^2 + 2mT)^{1/2} (T_0 - T) \sum_i |U_{ei}|^2 [(T_0 - T)^2 - m_i^2]^{1/2}$$

$$m_i^2 = \Delta m_{i0}^2 + m_0^2$$

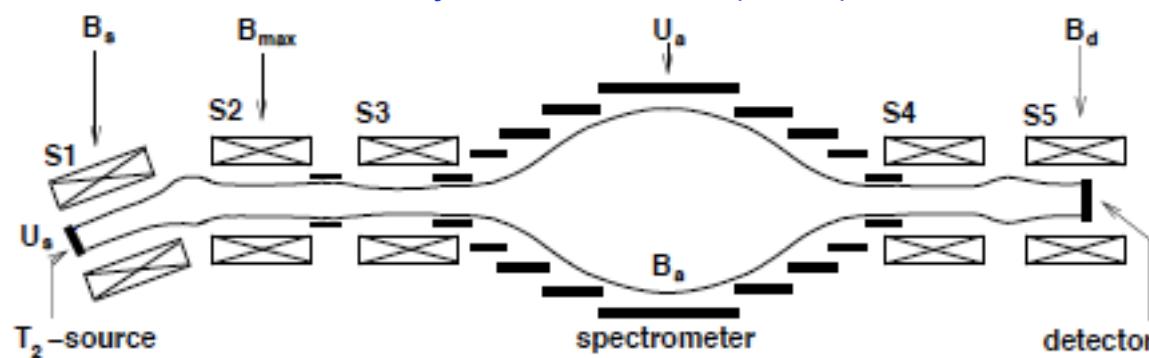
↑                      ↑  
from oscillations    mass scale              mixing      neutrino masses



# Current status of direct mass measurement

Mainz: solid T<sub>2</sub>, MAC-E filter

C. Kraus et al., Eur. Phys. J. C40, 447 (2005)

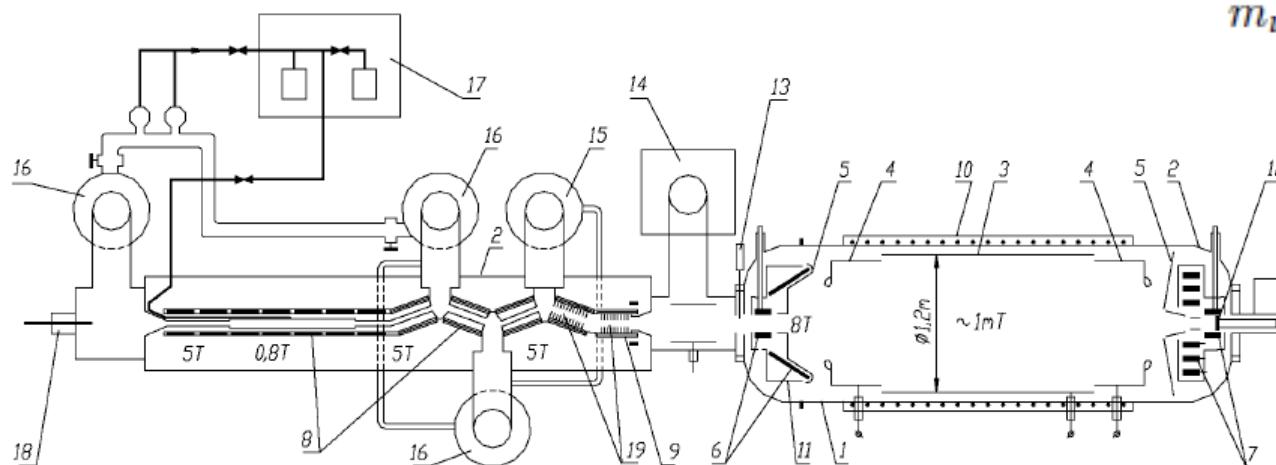


$$m^2(\nu_e) = (-0.6 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}})$$

$$m(\nu_e) < 2.3 \text{ eV/c}^2 \quad (95\% \text{ C.L.})$$

Troitsk: gaseous T<sub>2</sub>, MAC-E filter

V. Aseev et al., PRD in press (2011)



$$m_\nu^2 = -0.67 \pm 1.89_{\text{stat}} \pm 1.68_{\text{syst}}$$

$$m_\nu < 2.05 \text{ eV}, \text{ 95\% C.L.}$$

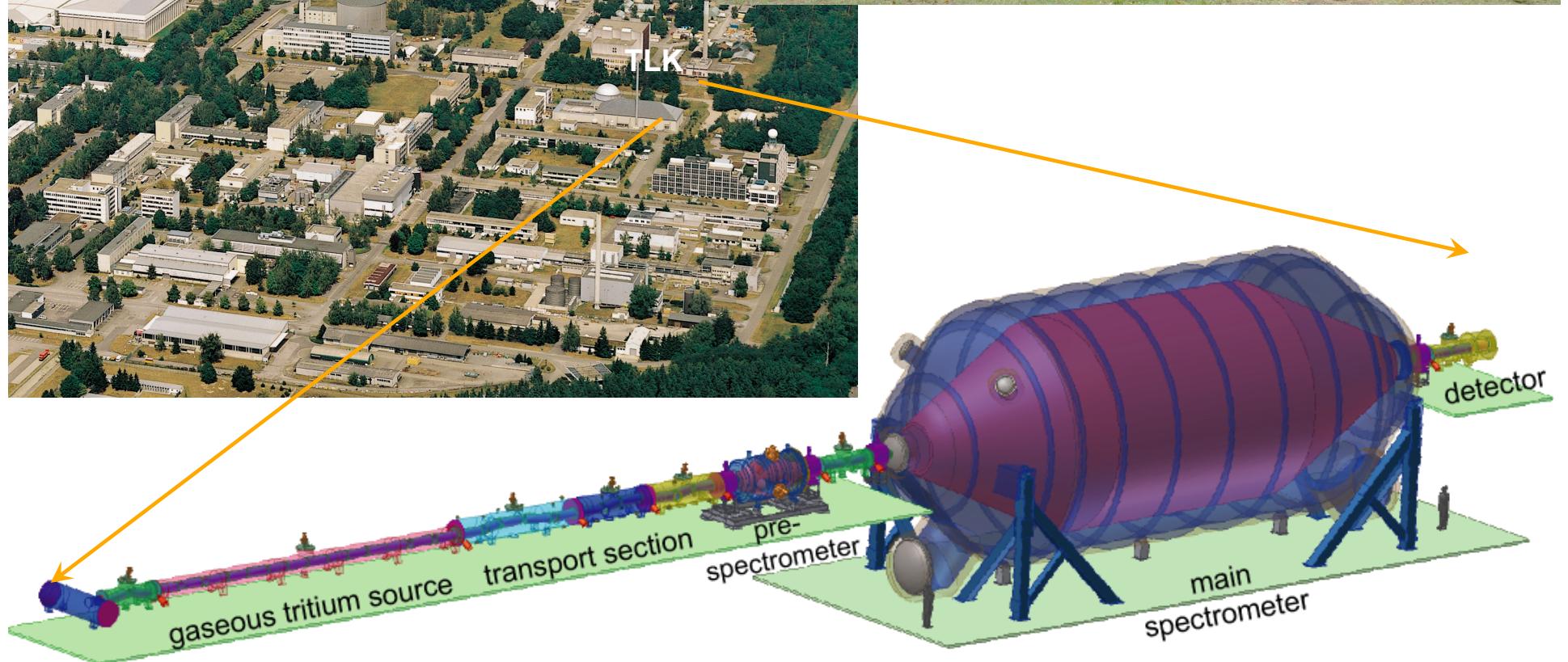
Together:...  
m<sub>ν</sub> < 2 eV

# KATRIN

At Karlsruhe Institute of Technology  
unique facility for closed T<sub>2</sub> cycle:  
Tritium Laboratory Karlsruhe



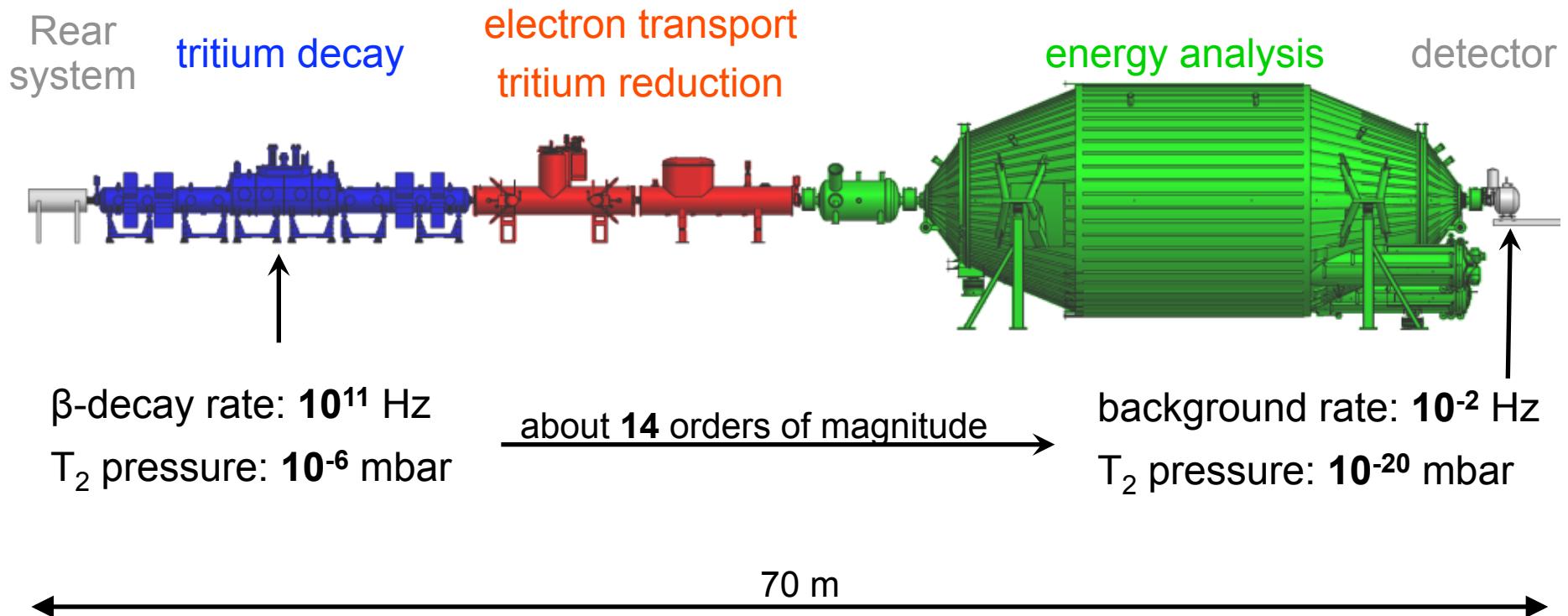
5 countries  
13 institutions  
100 scientists



~ 75 m long with 40 s.c. solenoids

# Description of KATRIN

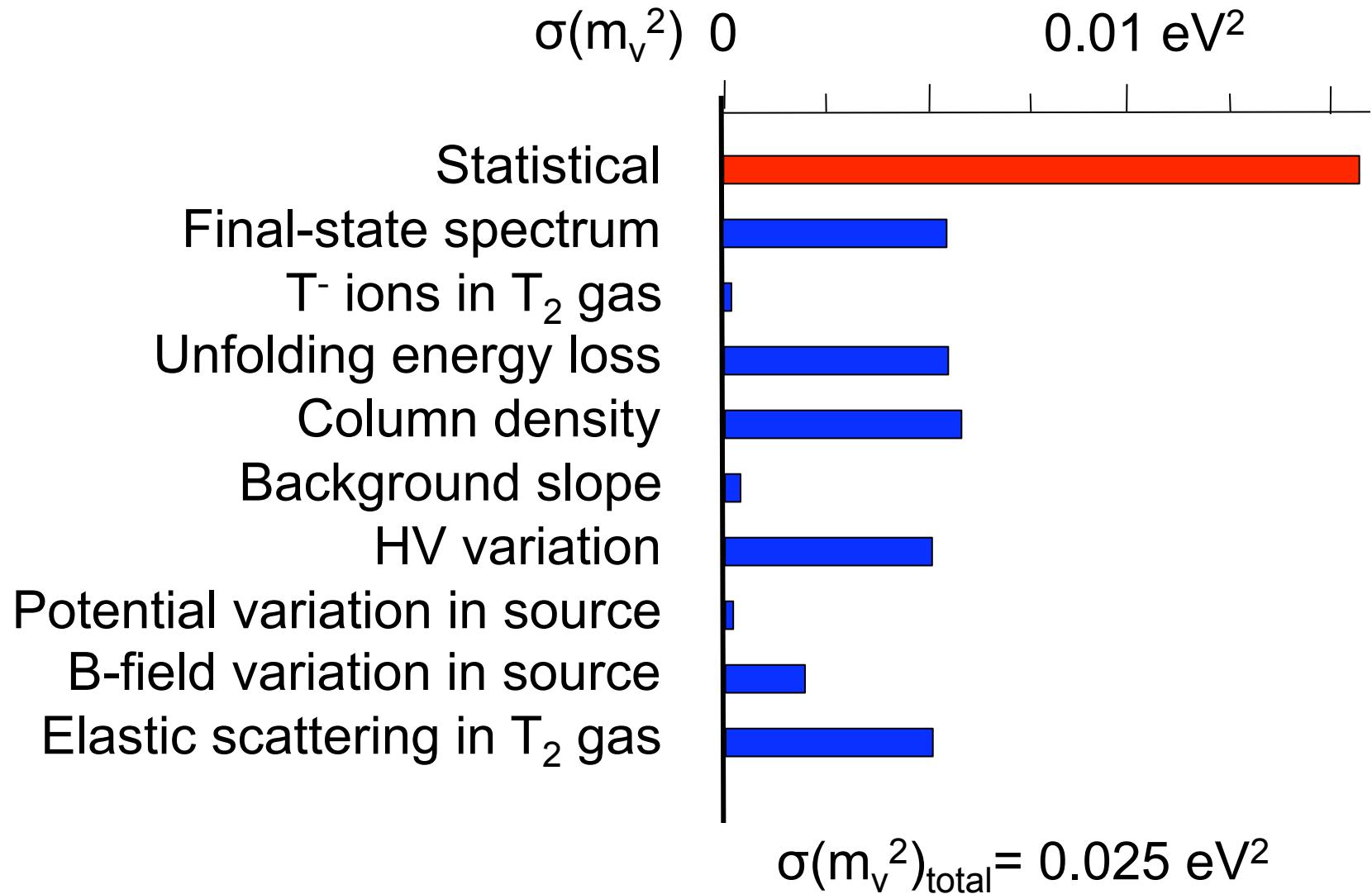
KArlsruhe TRIumium Neutrino experiment, location: Karlsruhe Institute of Technology  
*Draws on 3 decades of tritium neutrino experiments*



# Arrival in Leopoldshafen: Nov 24, 2006

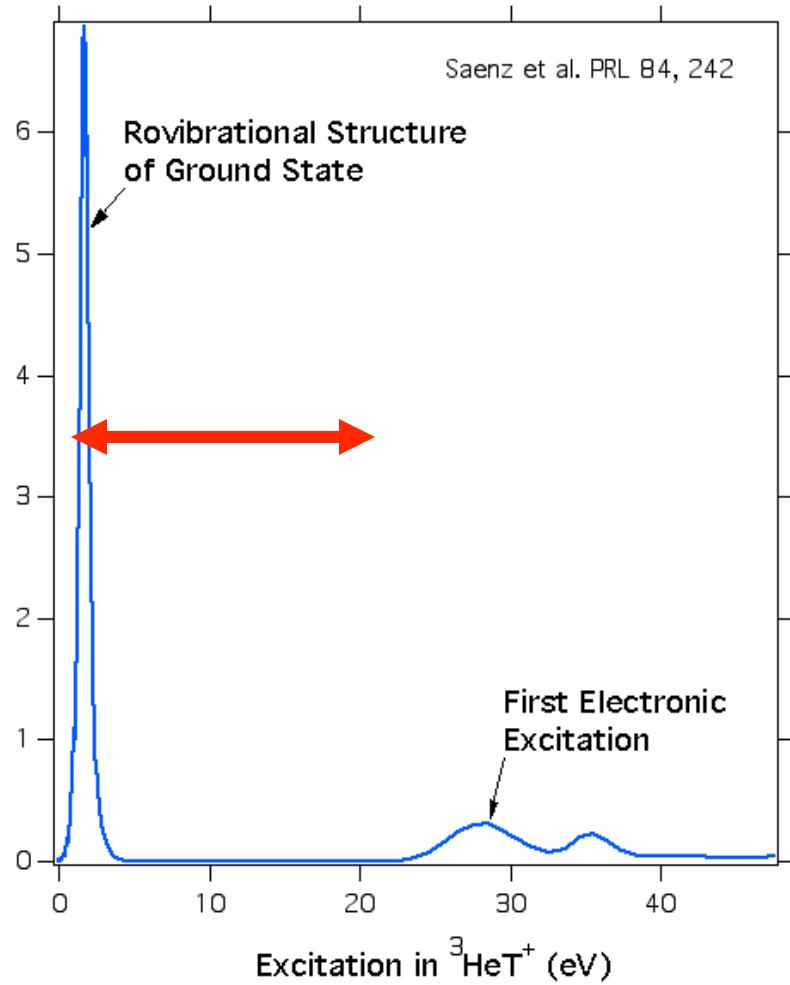


# KATRIN's uncertainty budget

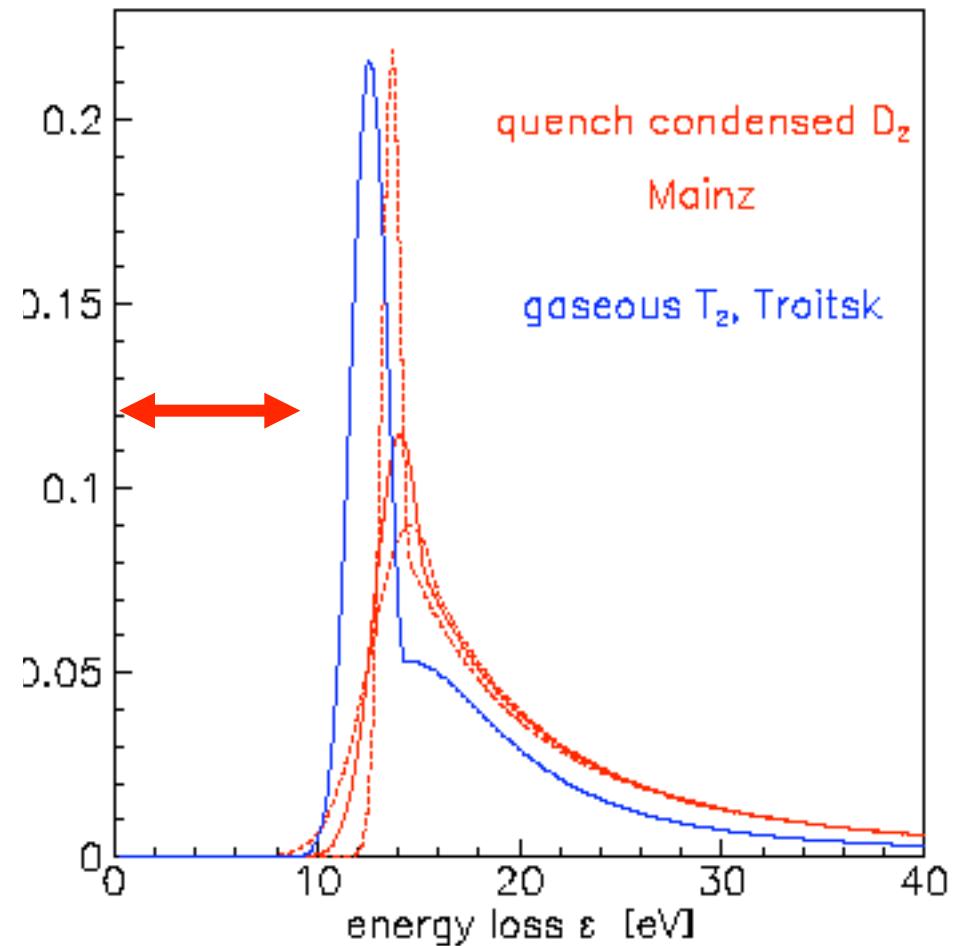


# A window to work in

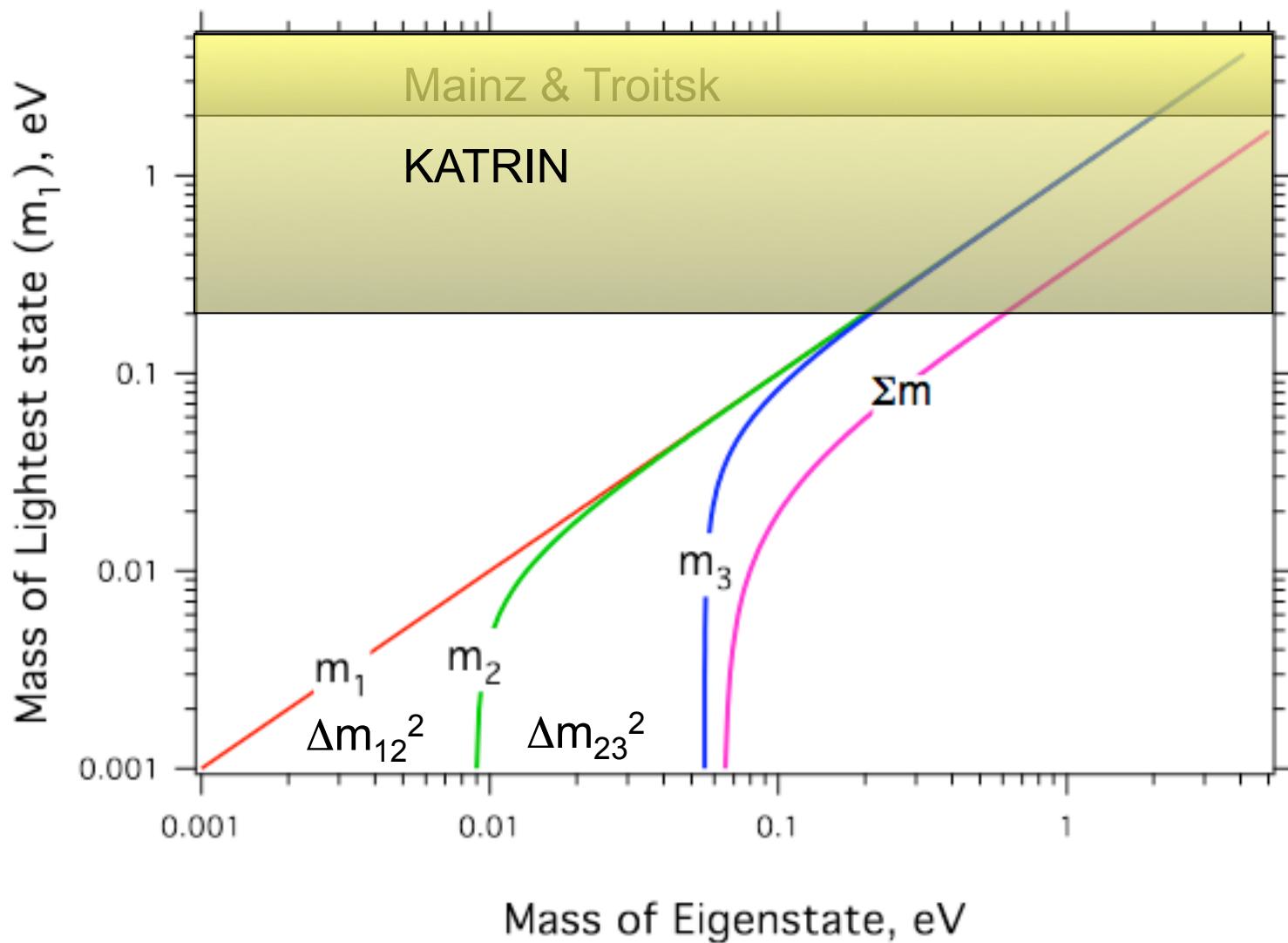
## Molecular Excitations



## Energy loss function

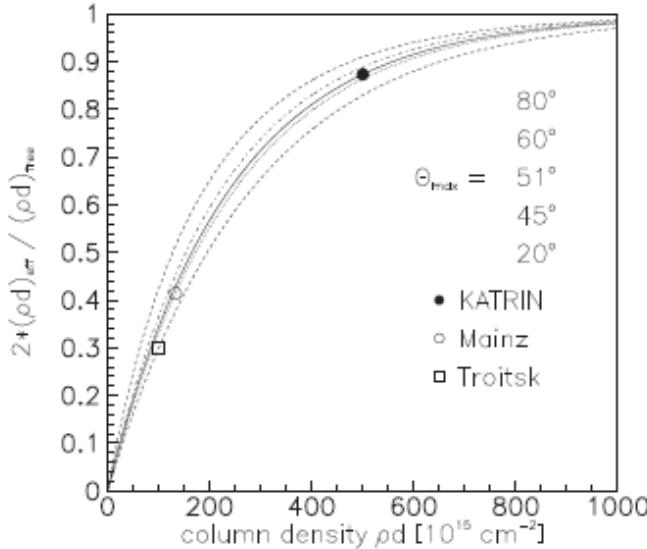


# Mass Range Accessible



# The Last Order of Magnitude

If the mass is NOT in the 200-2000 meV window, but <200 meV, how can we measure it?  
KATRIN may be the largest such experiment possible.



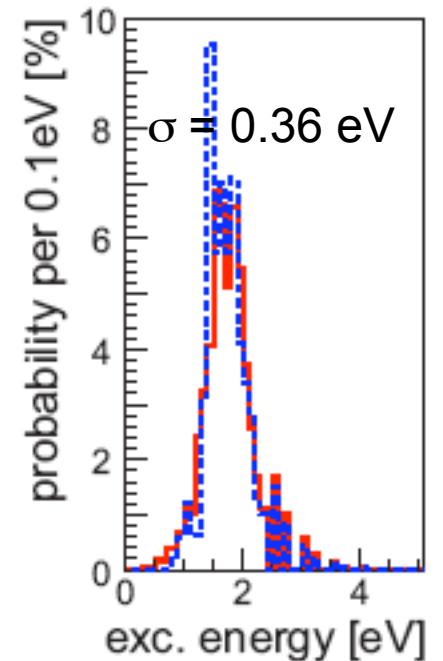
Source T<sub>2</sub> column density near max



Size of experiment now:  
Diameter 10 m.

$$\sigma(m_\nu^2) = k \frac{b^{1/6}}{r^{2/3} t^{1/2}},$$

Next diameter: 300 m!



Rovibrational states of THe<sup>+</sup>, HHe<sup>+</sup> molecule

# The Last Order of Magnitude

KATRIN's limits: a) Source and Detector are **separate**, b) spectrum taken **point by point**.

MARE  $^{187}\text{Re}$  uses microcalorimeters: Source=Detector, spectrum all at once. BUT **pileup** limits size of each to **few mg**.

	Tritium	$^{187}\text{Re}$
Endpoint	18.58 keV	2.47 keV
Branch to last eV	$2 \times 10^{-13}$	$6 \times 10^{-11}$
Half-life	12.32 y	$4.32 \times 10^{10}$ y
Mass: 200 meV	20 µg	700 g
Mass: 20 meV	20 mg	700 kg

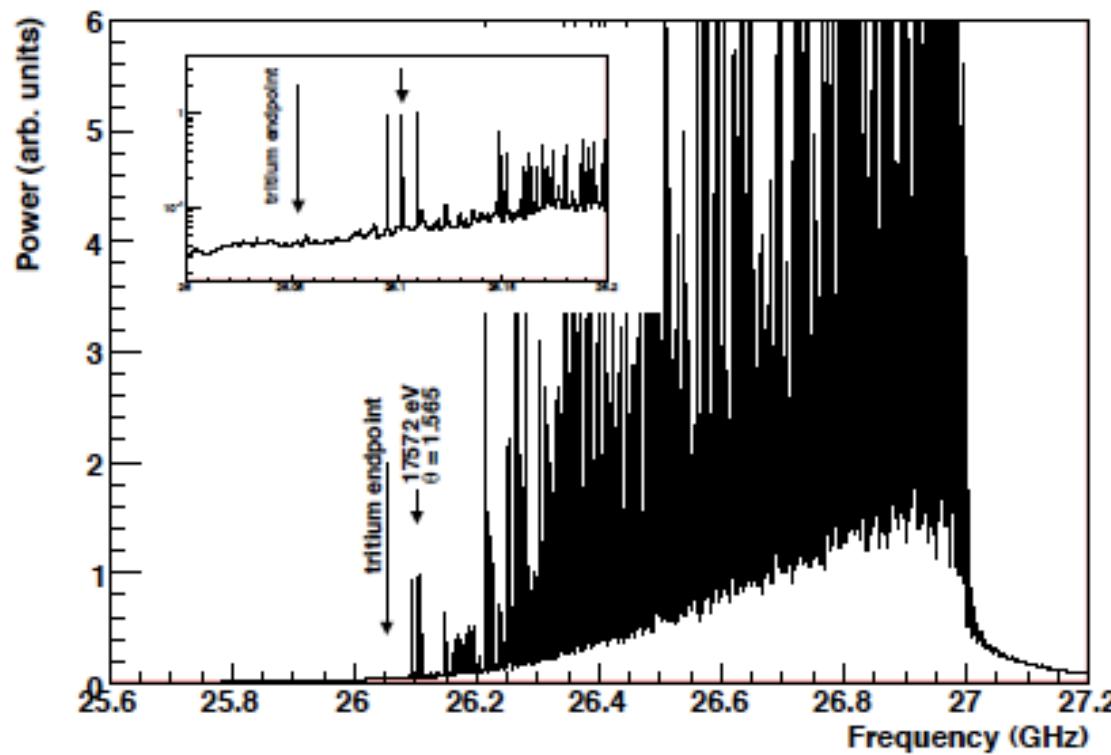
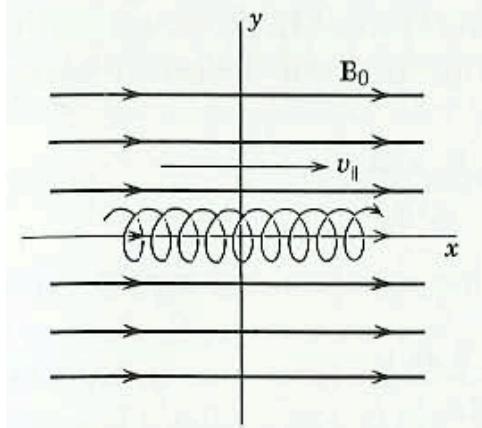
# PROJECT 8

## Cyclotron radiation from tritium beta decay

(B. Montreal and J. Formaggio, PRD 80:051301, 2009)

$$\omega = \frac{qB}{\gamma m} \equiv \frac{\omega_c}{\gamma}$$

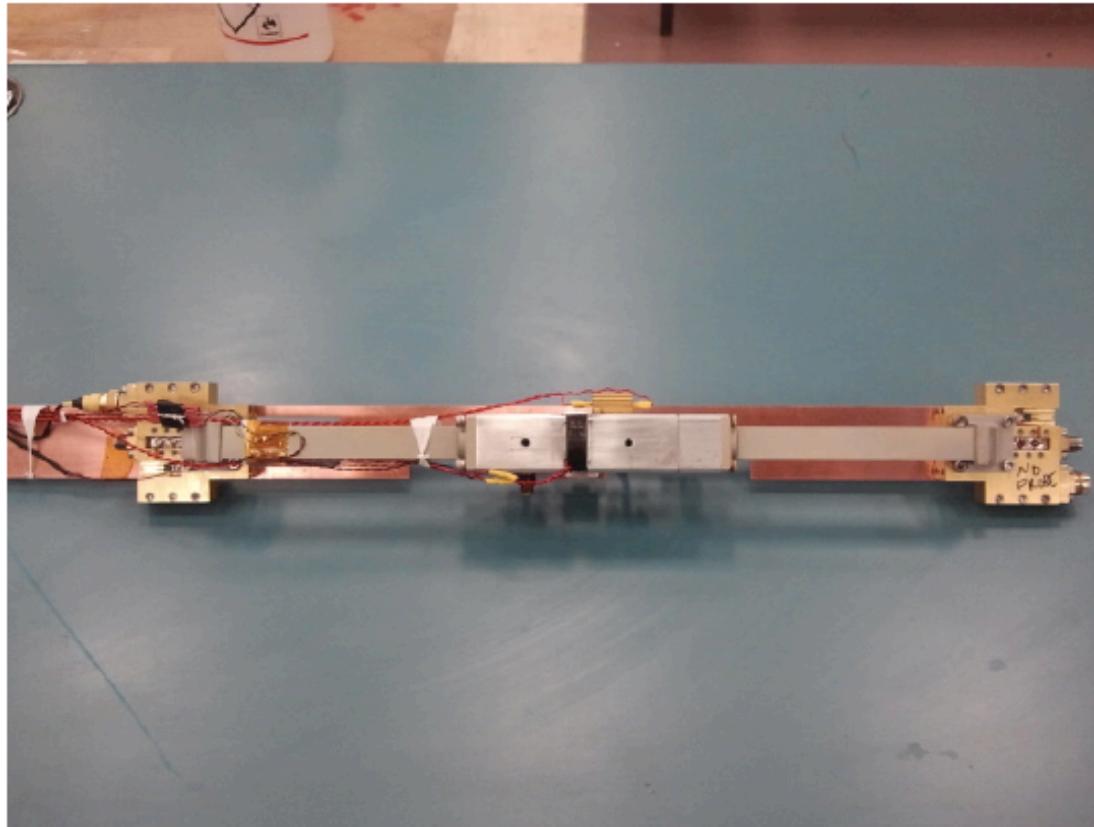
$$\omega_c = 1.758820150(44) \times 10^{11} \text{ rad/s/T}$$



Radiated power  $\sim 1 \text{ fW}$

Parameter	Value	Unit
Electron energy	18.6	keV
$\beta$	0.2627	
$\gamma$	1.0364	
Field	1	T
$\omega_c$	27.009	GHz

# Project 8 prototype at University of Washington



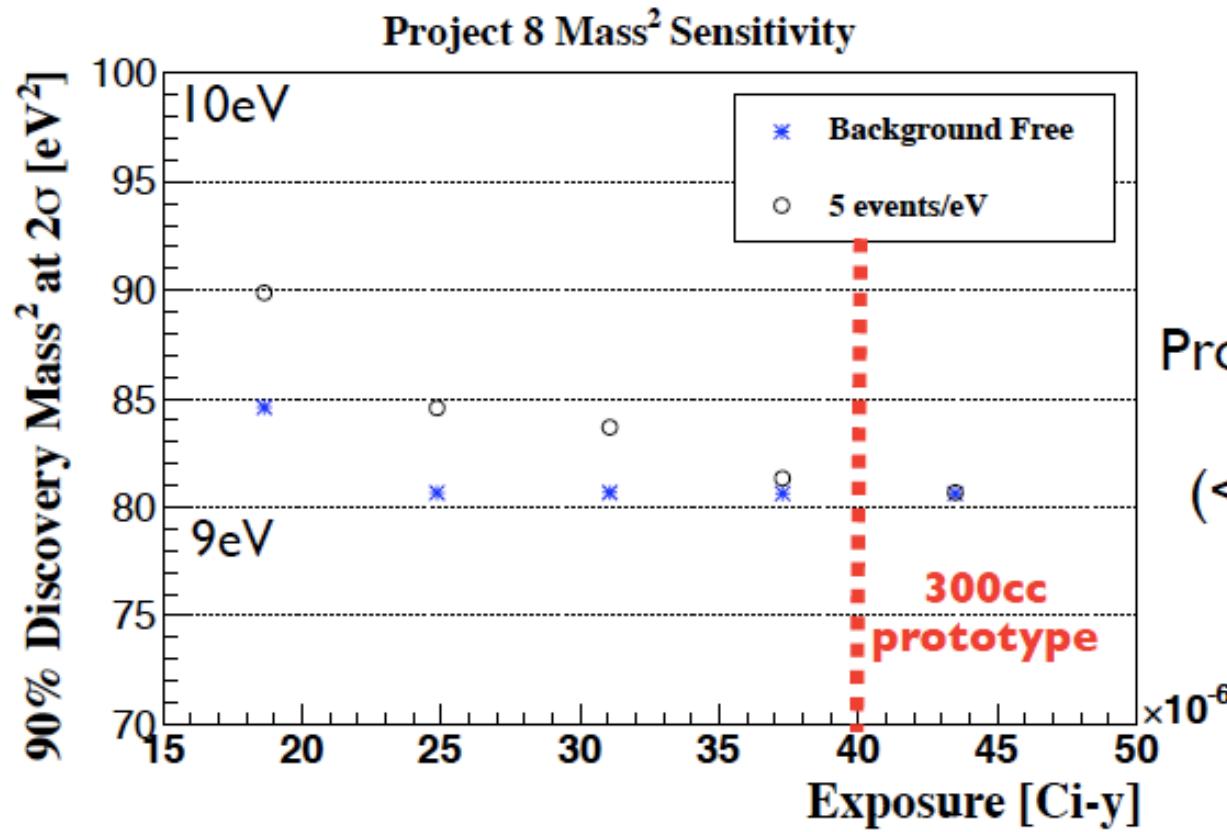
Trap coil, sample cell, waveguide,  
and two NRAO amplifiers

Magnet cooldown



# Project 8 prototype

~ 40  $\mu\text{Ci}$  of  $\text{T}_2$  (KATRIN is ~ 3 Ci)

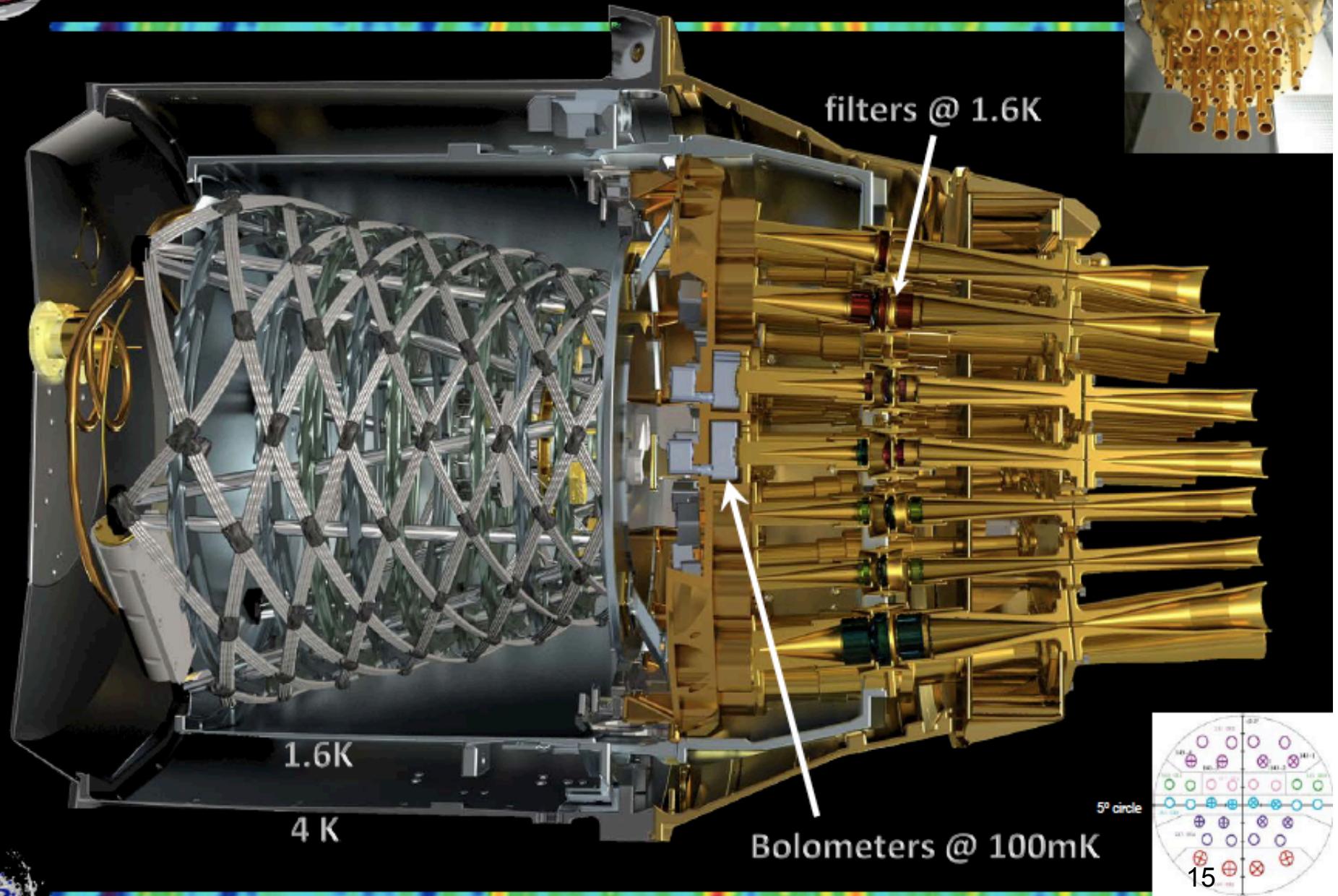


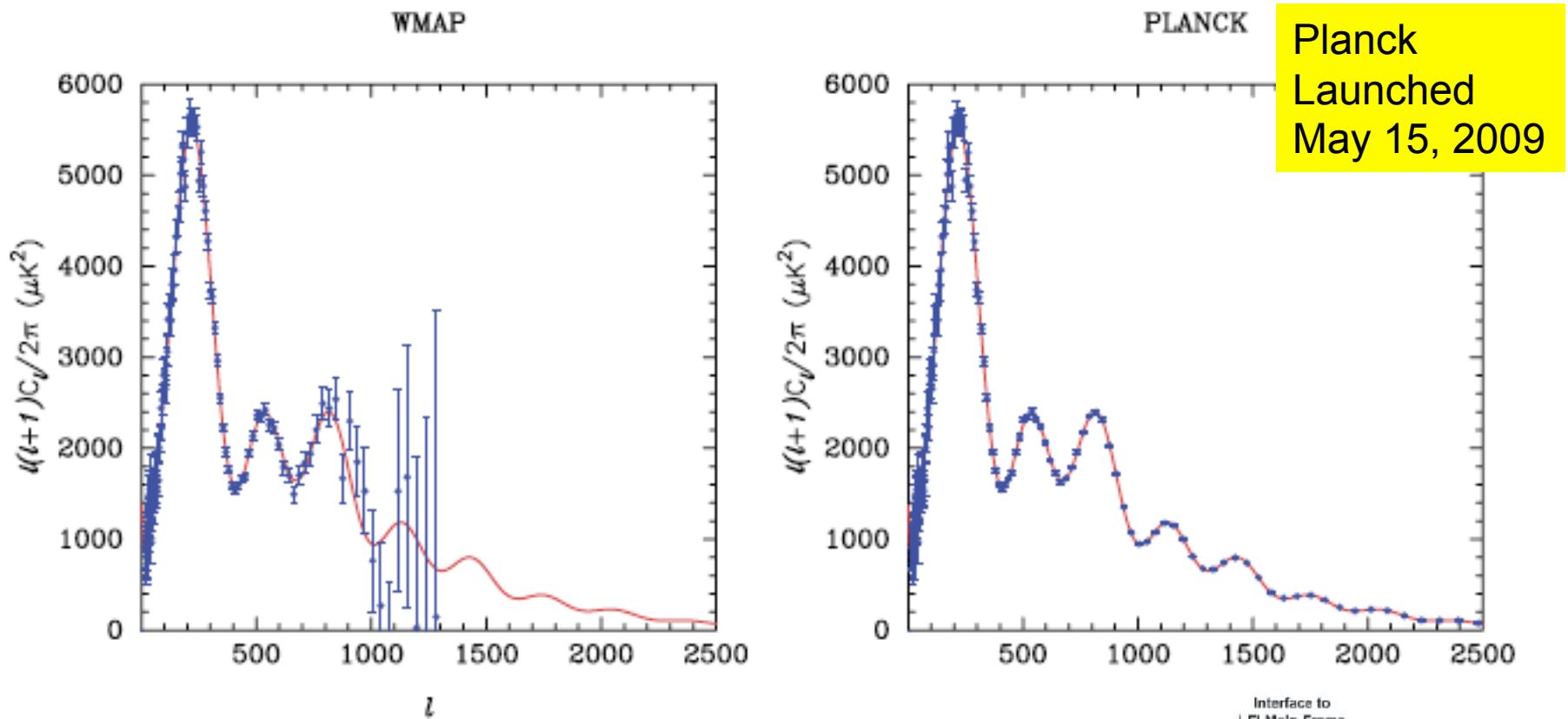
Prototype in “maximum resolution” mode (< 5000 Bq/cm<sup>3</sup>, 1 eV)



# Planck

## HFI cut-away

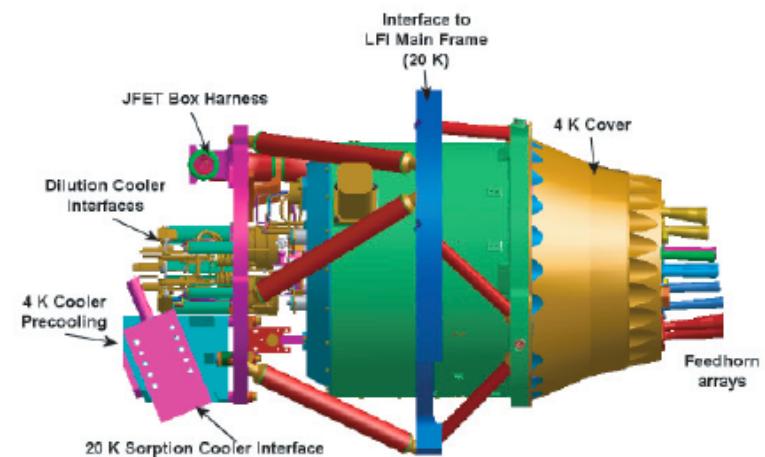




Present  $\Lambda$ CDM constraints on  $\Sigma m_\nu$ :  
 $\sim 0.6$  eV

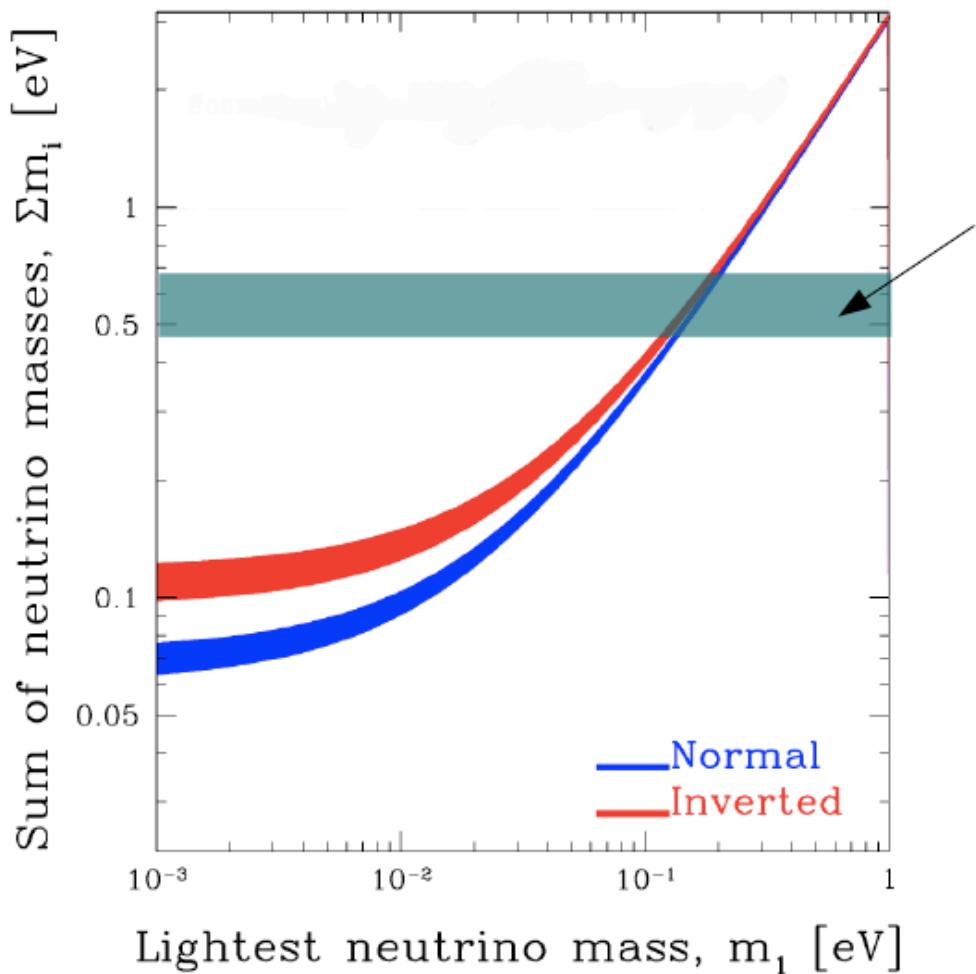
Planck sensitivity:

1. Planck only                    0.26 eV
2. Planck + SDSS                0.2 eV
3. CMBR + grav. lensing      0.15 eV



From Planck "Bluebook" <sup>16</sup>

## Present constraints...



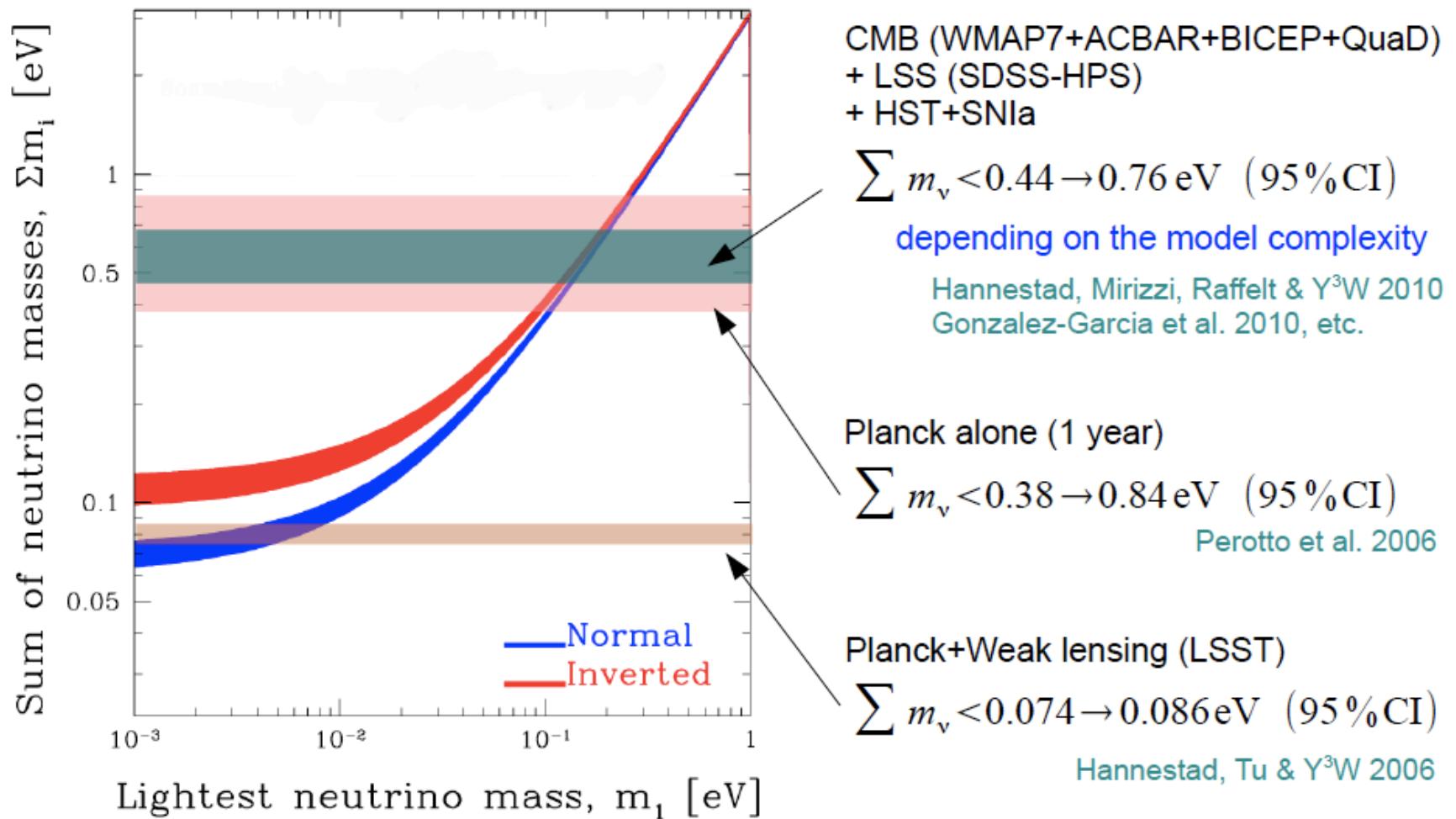
CMB (WMAP7+ACBAR+BICEP+QuaD)  
+ LSS (SDSS-HPS)  
+ HST+SNIa

$$\sum m_\nu < 0.44 \rightarrow 0.76 \text{ eV} \quad (95\% \text{ CI})$$

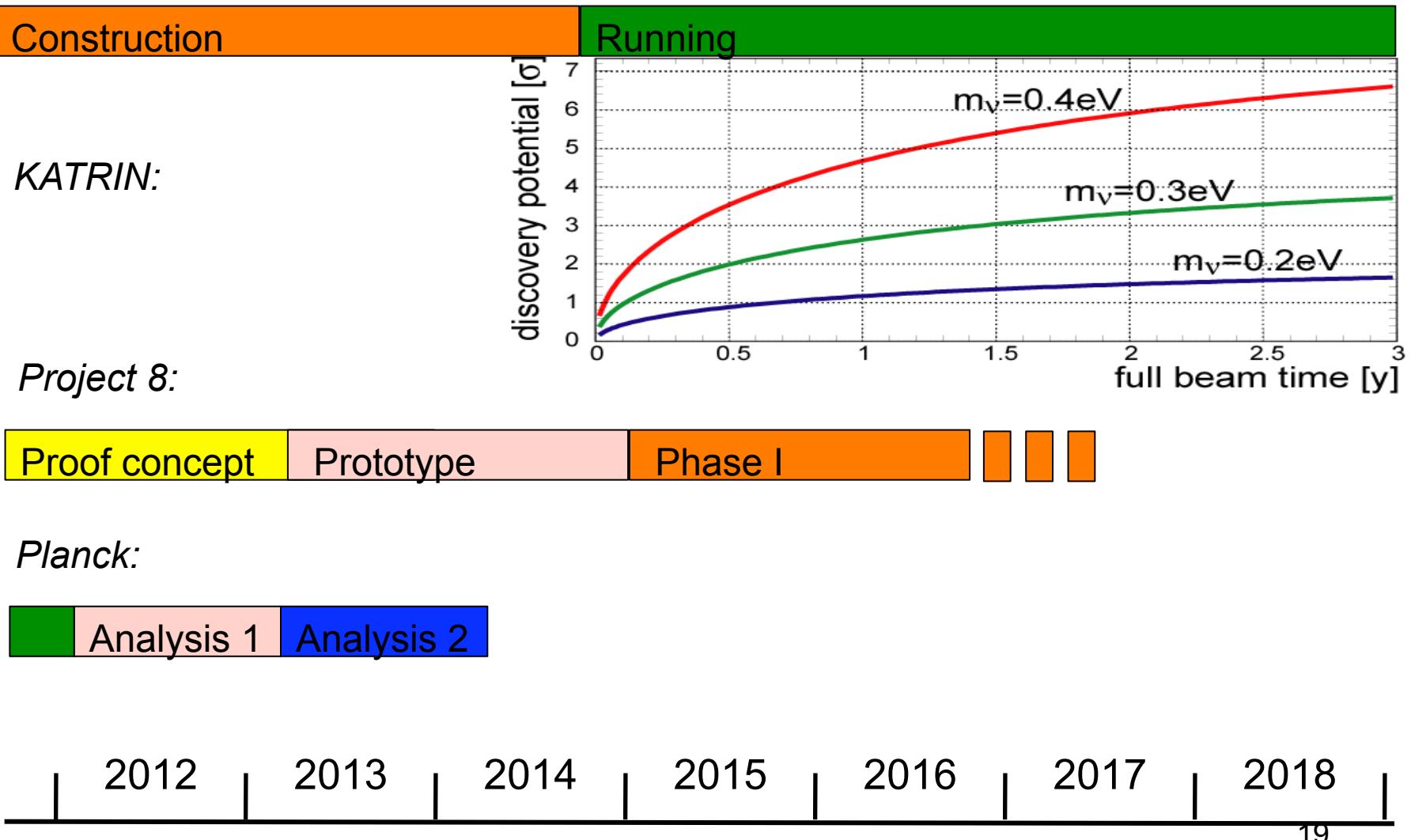
depending on the model complexity

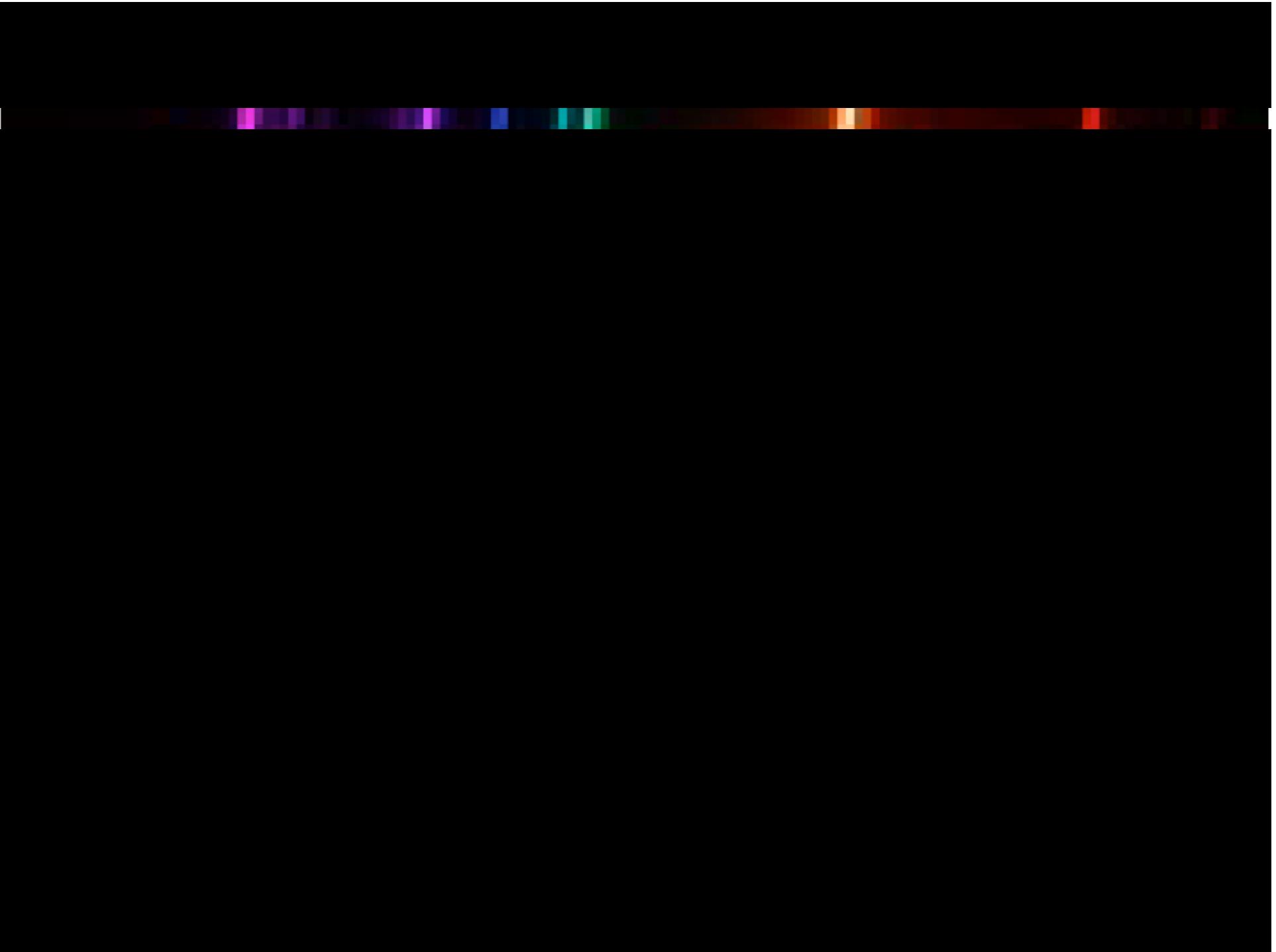
Hannestad, Mirizzi, Raffelt & Y<sup>3</sup>W 2010  
Gonzalez-Garcia et al. 2010, etc.

## Present constraints and future sensitivities...



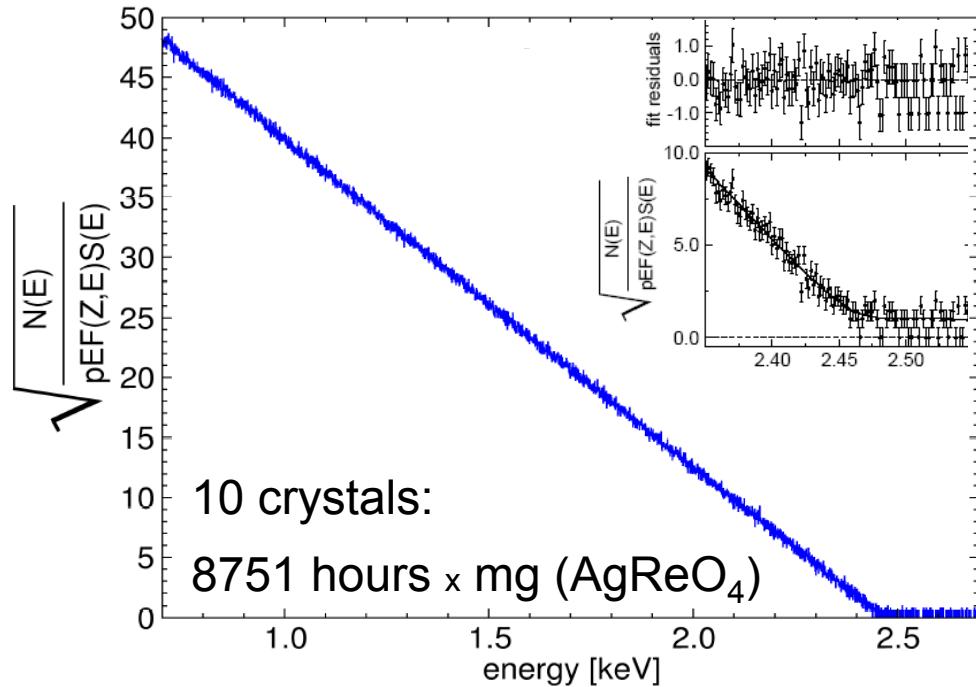
# Neutrino mass: some milestones





# Microcalorimeters for $^{187}\text{Re}$ $\beta$ -decay

**MIBETA:** Kurie plot of  $6.2 \times 10^6$   $^{187}\text{Re}$   $\beta$ -decay events ( $E > 700$  eV)



$$E_0 = (2465.3 \pm 0.5_{\text{stat}} \pm 1.6_{\text{syst}}) \text{ eV}$$

$$m_\nu^2 = (-112 \pm 207 \pm 90) \text{ eV}^2$$

MANU2 (Genoa)  
metallic Rhenium  
 $m(\nu) < 26$  eV

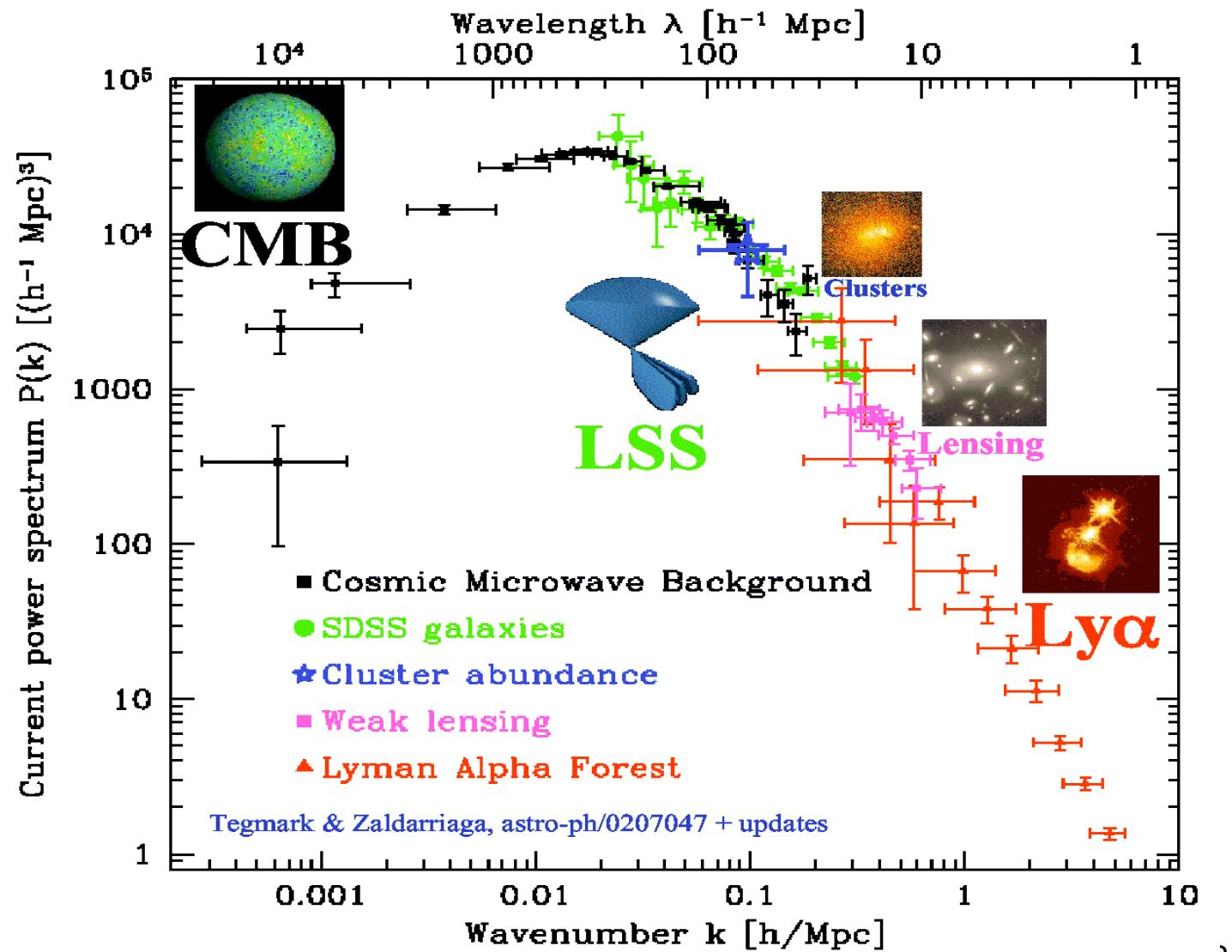
Nucl. Phys. B (Proc. Suppl.) 91 (2001) 293

MIBETA (Milano)  
 $\text{AgReO}_4$   
 $m(\nu) < 15$  eV

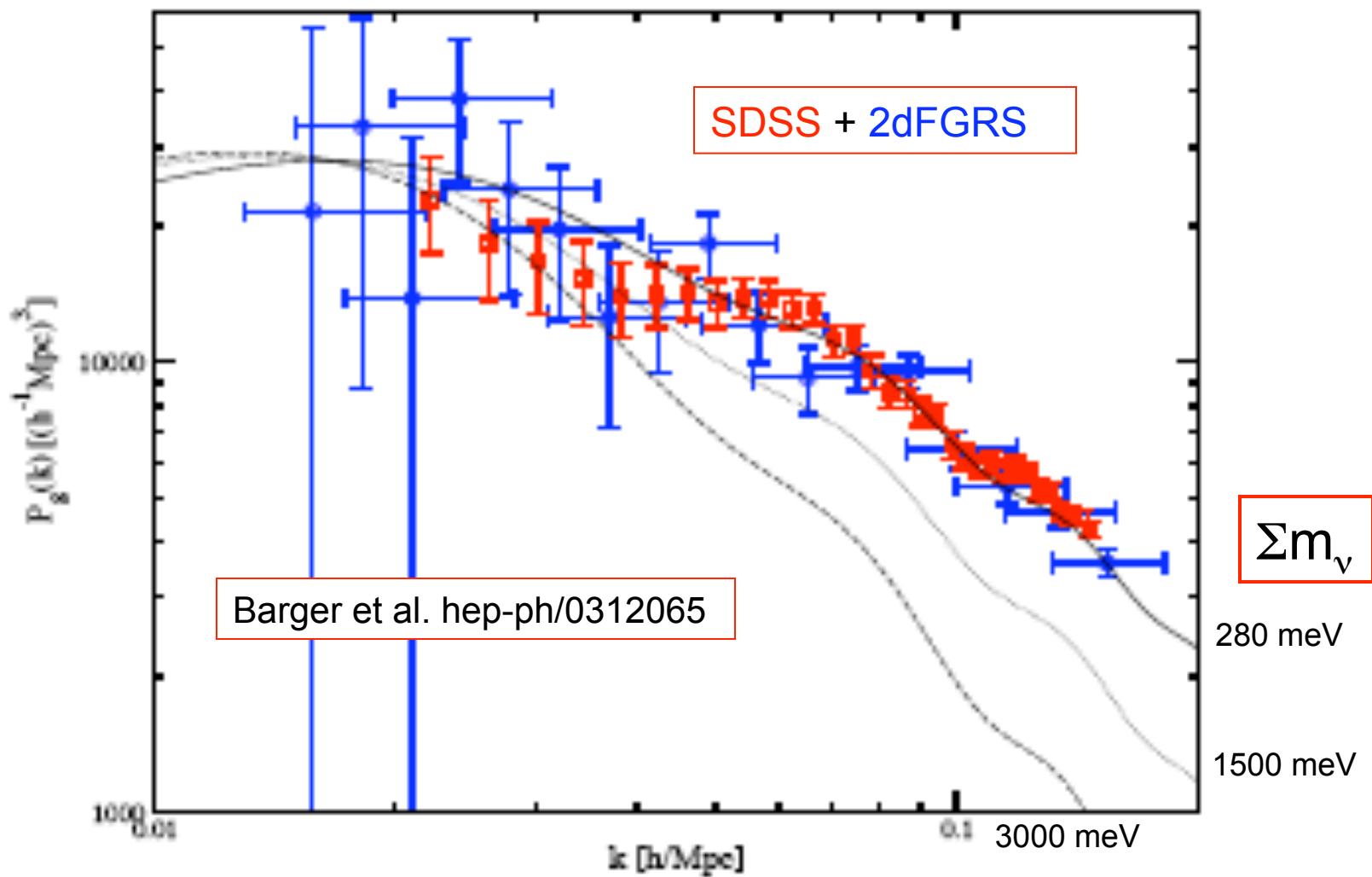
Nucl. Instr. Meth. 125 (2004) 125

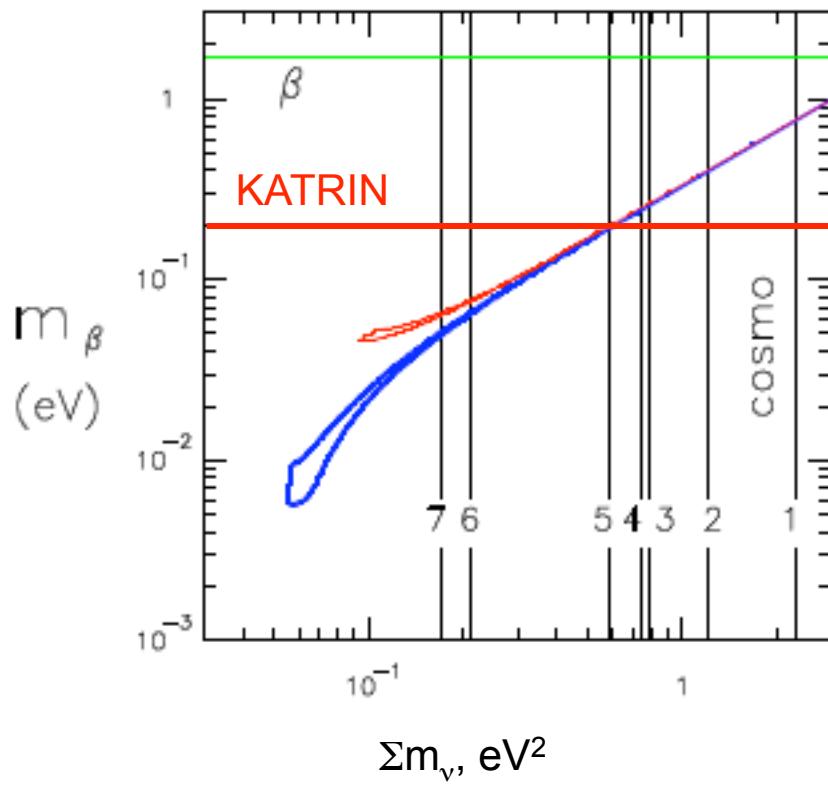
MARE (Milano, Como,  
Genoa, Trento, US, D)  
Phase I :  $m(\nu) < 2.5$  eV

# Neutrino mass from cosmology



# Even small $m_\nu$ influences structure





$2\sigma$  bounds from :

- $\nu$  oscillation data
  - $\beta$  decay
  - cosmology
- normal hierarchy  
— inverted hierarchy

Fogli et al.  
Phys.Rev.D75:053001,2007

Case	Cosmological data set	$\Sigma$ bound ( $2\sigma$ )
1	WMAP	< 2.3 eV
2	WMAP + SDSS	< 1.2 eV
3	WMAP + SDSS + SN <sub>Riess</sub> + HST + BBN	< 0.78 eV
4	CMB + LSS + SN <sub>Astier</sub>	< 0.75 eV
5	CMB + LSS + SN <sub>Astier</sub> + BAO	< 0.58 eV
6	CMB + LSS + SN <sub>Astier</sub> + Ly- $\alpha$	< 0.21 eV
7	CMB + LSS + SN <sub>Astier</sub> + BAO + Ly- $\alpha$	< 0.17 <sup>24</sup> eV

# Mass and mixing parameters

$\Delta m_{21}^2$	$7.59^{+0.21}_{-0.21} \times 10^{-5}$ eV $^2$	
$ \Delta m_{32}^2 $	$2.40^{+0.12}_{-0.11} \times 10^{-3}$ eV $^2$	
$\Sigma m_i$	$> 55.3$ meV (90% CL)	$< 6900$ meV (90% CL)*
$\theta_{12}$	$33.7^{+0.9}_{-0.9}$ deg	
$\theta_{23}$	$45^{+4.0}_{-3.5}$ deg	
$\theta_{13}$	$8.1^{+1.7}_{-1.1}$ deg	Non-Gaussian error!
$\sin^2 \theta_{13}$	$0.021^{+.022}_{-.018}$ (99.7% CL)	~ Gaussian error

Marginalized 1-D 1- $\sigma$  uncertainties.

\*C. Kraus et al., Eur. Phys. J. C40, 447 (2005)

Other refs, see HR, 0807.4258v1

# Mass eigenstates

With flavor mixing:

$$\frac{dN}{dT} = \frac{G_F \cos \theta_C}{2\pi^3} |M_{\text{nuc}}|^2 F(Z, T) (T + m) (T^2 + 2mT)^{1/2} (T_0 - T) \sum_i |U_{ei}|^2 [(T_0 - T)^2 - m_i^2]^{1/2}$$
$$m_i^2 = \Delta m_{i0}^2 + m_0^2$$

from oscillations      mass scale      mixing      neutrino masses

If  $\Delta m^2$  is  $\ll m_0^2$ , we can neglect it. But  $\sum |U_{ei}|^2 = 1$ . We regain our original formula: --

$$\frac{dN}{dT} = \frac{G_F \cos \theta_C}{2\pi^3} |M_{\text{nuc}}|^2 F(Z, T) (T + m) (T^2 + 2mT)^{1/2} (T_0 - T) [(T_0 - T)^2 - m_0^2]^{1/2}$$

Because  $U_{e3}$  is also small, this is accurate down to  $m_0 = 30$  meV !

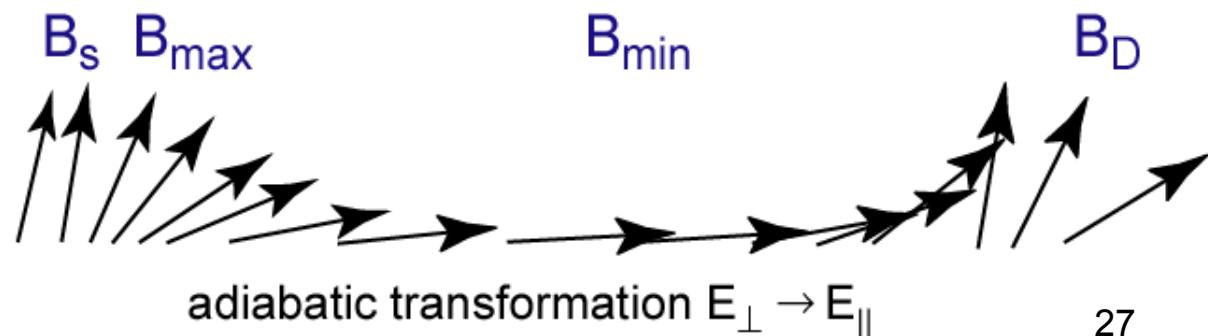
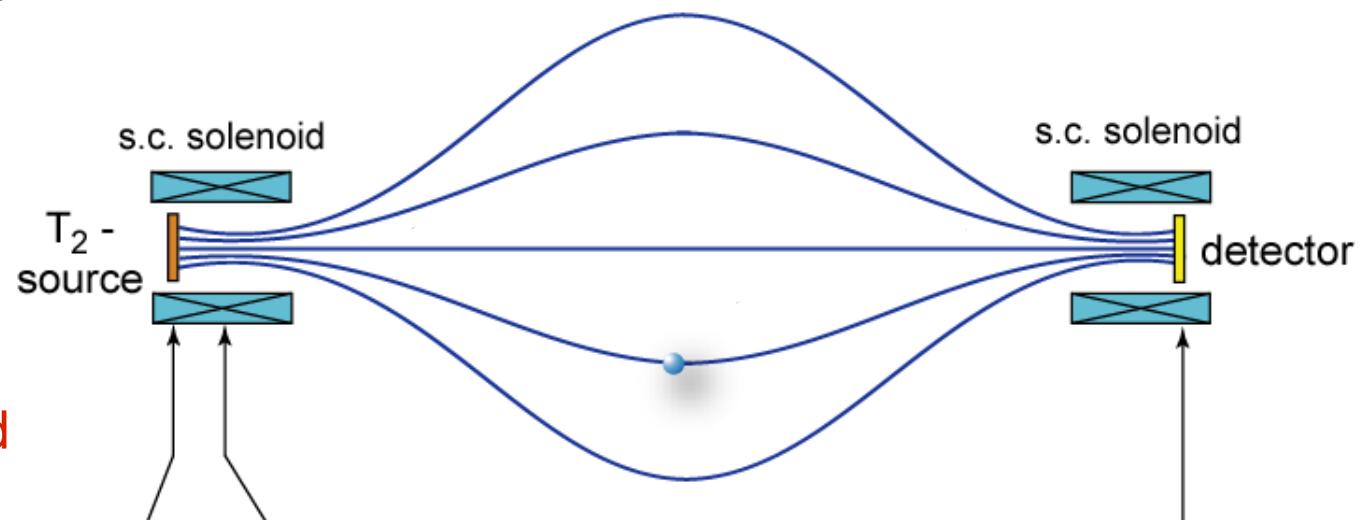
# Principle of MAC-E Filter



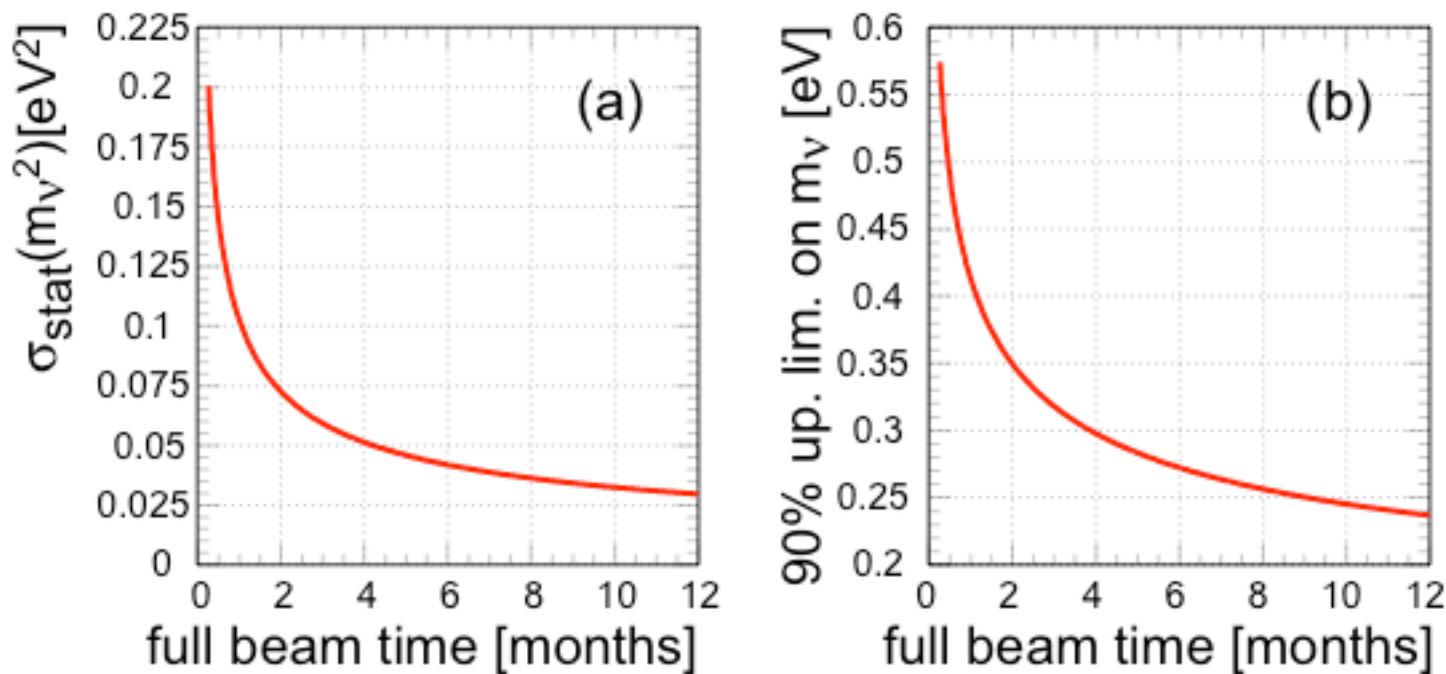
Adiabatic magnetic guiding  
of  $\beta$ 's along field lines  
in stray B-field of  
s.c. solenoids:  
 $B_{\max} = 6 \text{ T}$   
 $B_{\min} = 3 \times 10^{-4} \text{ T}$

Energy analysis by  
static retarding E-field  
with varying strength:

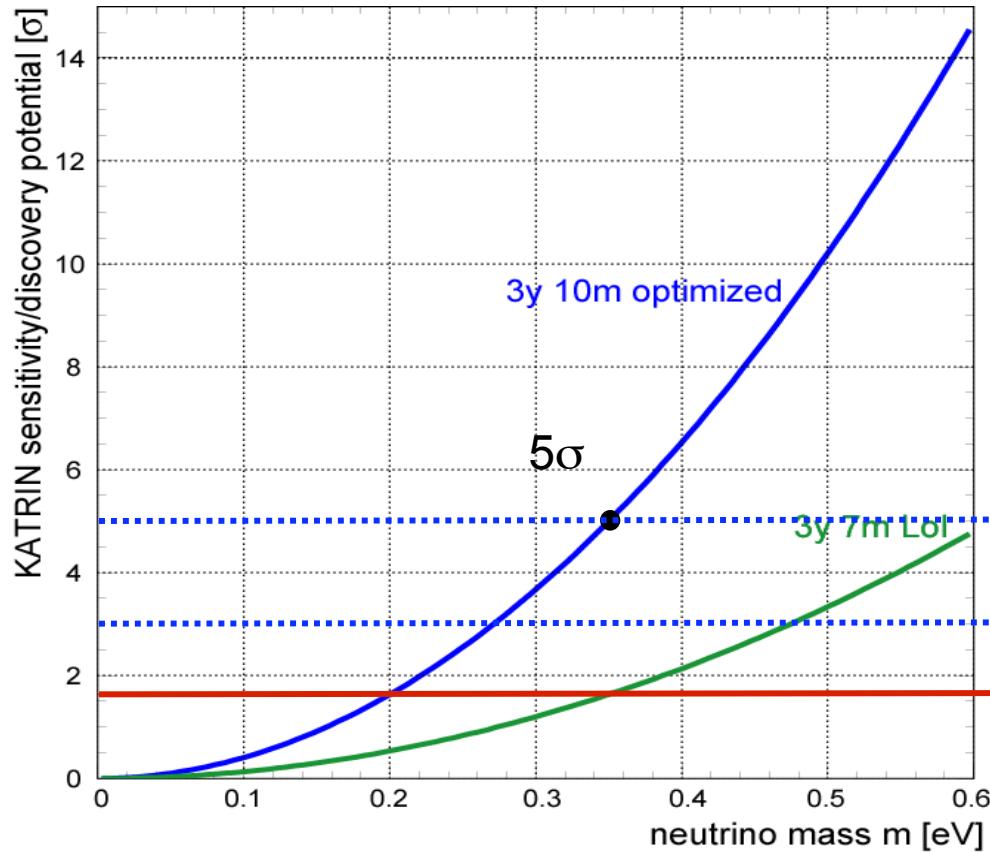
High pass filter with  
integral  $\beta$  transmission  
for  $E > qU$



# Sensitivity with run time



# KATRIN: sensitivity and discovery potential



Expectation for 3 full beam years:

$$\sigma_{\text{syst}} \sim \sigma_{\text{stat}}$$

discovery potential:

$$m_\nu = 0.35 \text{ eV} \text{ (5}\sigma\text{)}$$

$$m_\nu = 0.27 \text{ eV} \text{ (3}\sigma\text{)}$$

sensitivity:

$$m_\nu < 0.2 \text{ eV (90\% CL)}$$

# Systematic Uncertainties

source of systematic shift	achievable/projected accuracy	systematic shift $\sigma_{\text{syst}}(m_\nu^2)[10^{-3}\text{eV}^2]$
description of final states	$f < 1.01$	< 6
$T^-$ ion concentration $n(T^-)/n(T_2)$	$< 2 \cdot 10^{-8}$	< 0.1
unfolding of the energy loss function (determination of $f_{\text{res}}$ )		< 2 < 6 (including a more realistic e-gun model)
monitoring of $\rho d$ [ $E_0 - 40\text{ eV}, E_0 + 5\text{ eV}$ ]	$\Delta \epsilon_T/\epsilon_T < 2 \cdot 10^{-3}$ $\Delta T/T < 2 \cdot 10^{-3}$ $\Delta \Gamma/\Gamma < 2 \cdot 10^{-3}$ $\Delta p_{\text{inj}}/p_{\text{inj}} < 2 \cdot 10^{-3}$ $\Delta p_{\text{ex}}/p_{\text{ex}} < 0.06$	$< \frac{\sqrt{5 \cdot 6.5}}{10}$
background slope	$< 0.5\text{ mHz/keV}$ (Troitsk)	< 1.2
HV variations	$\Delta \text{HV}/\text{HV} < 3\text{ ppm}$	< 5
potential variations in the WGTS	$\Delta U < 10\text{ meV}$	< 0.2
magnetic field variations in WGTS	$\Delta B_S/B_S < 2 \cdot 10^{-3}$	< 2
elastic $e^-$ - $T_2$ scattering		< 5
identified syst. uncertainties	$\sigma_{\text{syst,tot}} = \sqrt{\sum \sigma_{\text{syst}}^2} \approx 0.01\text{ eV}^2$	

TABLE IV: Summary of sources of systematic errors on  $m_\nu^2$ , the achievable or projected accuracy of experimental parameters (stabilization) and the individual effect on  $m_\nu^2$  for an analysis interval of  $[E_0 - 30\text{ eV}, E_0 + 5\text{ eV}]$  if not stated otherwise.

# Status of KATRIN components

